

## Michael T. Steinert, J.D., IACCP®

Senior Vice President, Chief Operating Officer and  
Chief Compliance Officer

Mike leads our investment operations functions, which include operations, finance, compliance, and data governance. He also serves as our Chief Compliance Officer and is responsible for ensuring our investment operations practices and policies comply with federal securities laws. Mike chairs Securian Asset Management's (AM) product and pricing, sub-adviser oversight and internal compliance controls committees.

### Experience

Mike joined Securian AM as Chief Compliance Officer in 2017 and assumed his current role in 2021. Prior to joining Securian Asset Management, Mike served as senior counsel for Securian Financial Group, Inc. In that role, Mike provided advice and counsel to Securian Funds Trust on the legal and regulatory issues relating to the Trust's mutual funds. He also served as managing counsel for Securian's group insurance business and provided legal counsel in the areas of investment management and advisory services, insurance product manufacturing and distribution, and registered insurance and investment products. Mike started his career in the financial services industry in 2001.

### Education

- Juris Doctor, William Mitchell College of Law
- Bachelor of Science in business (finance), University of Minnesota, Carlson School of Management

### Registrations/Licenses

- Licensed attorney
- Investment Adviser Certified Compliance Professional (IACCP)®

Securian Asset Management, Inc., is a subsidiary of Securian Financial Group, Inc. Securian Financial is the marketing name for Securian Financial Group, Inc., and its subsidiaries.



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